PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/735,125 TRANSMITTAL Filing Date December 12, 2003 **FORM** First Named Inventor K.E. Henegar et al. Art Unit 1621 (to be used for all correspondence after initial filing) **Examiner Name** not yet available Attorney Docket Number 01235.US1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): A return-receipt postcard is enclosed. Request for Refund **Express Abandonment Request** Search report and references CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual name Pharmacia & Upjohn Company, John H. Engelmann (28,075) Signature Date 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Julie K. Lyons Date Signature This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Atty. Docket No. Serial No. 01235.US1 10/735,125 FERENCES CITED BY APPLICANT (Use several sheets if necessary) Applicant K.E. Henegar et al. Filing Date 12/12/2003 1621 U.S. PATENT DOCUMENTS Document Examiner Filing Date Initial Number Date Name Class Subclass If Appropriate FOREIGN PATENT DOCUMENTS Document Date Country Class Subclass Translation Number Yes No AAEP 1 086 942 A1 March 28, 2001 Europe C07C 43/196 JP 05086002 AB April 6, 1993 Japan (abstract) C07C 235/30 OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) AC V. Bertolasi, et al., Tetrahedron: Asymmetry 12(10), 1479-1483 (2001) AD A.J. Carnell, et al., J. Chem. Soc., Chem. Commun. 20, 1438-9 (1990) ΑE E.J. Corey and B.B. Snider, J. Org. Chem. 39,(21), 256-8 (1974) AF E. W. Collington et al, Tetrahedron Lett., 24 (30), 3125-3128 (1983) AG T. Ema, et al., J. Org. Chem, 61, 8610-8616 (1996) P.A. Grieco, J. Org. Chem., 37(14), 2363-2364 (1972) AH ΑI K. Laumen, et al., J. Chem. Soc., Chem. Commun., 1298-1299 (1986) AJ K. Laumen, et al., Tetrahedron Lett. 25(51), 5875-5878 (1984) M. Nara, et al., Tetrahedron, 36, 3161-3170 (1980) ΑK ALJ.J. Partridge, et al., J. Am. Chem. Soc. 95(21), 7171-2 (1973) AM J.J. Partridge, et al., Org. Syn. Coll. Vol. VII, 339-345 AN S. Takano, et al., J. Chem. Soc., Chem. Commun., 6, 189-190 (1976) Examiner Date Considered